

On an invariance property of the space of smooth vectors

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Abstract

Let (π, \mathcal{H}) be a continuous unitary representation of the (infinite dimensional) Lie group G , and $\gamma: \mathbb{R} \rightarrow \text{Aut}(G)$ be a group homomorphism which defines a continuous action of \mathbb{R} on G by Lie group automorphisms. Let $\pi^\#(g, t) = \pi(g)U_t$ be a continuous unitary representation of the semidirect product group $G \rtimes_\gamma \mathbb{R}$ on \mathcal{H} . The first main theorem of the present note provides criteria for the invariance of the space \mathcal{H}^∞ of smooth vectors of π under the operators $U_f = \int_{\mathbb{R}} f(t)U_t dt$ for $f \in L^1(\mathbb{R})$, resp., $f \in \mathcal{S}(\mathbb{R})$. When \mathfrak{g} is complete and the actions of \mathbb{R} on G and \mathfrak{g} are continuous, we use the above theorem to show that, for suitably defined spectral subspaces $\mathfrak{g}_{\mathbb{C}}(E)$, $E \subseteq \mathbb{R}$, in the complexified Lie algebra $\mathfrak{g}_{\mathbb{C}}$, and $\mathcal{H}^\infty(F)$, $F \subseteq \mathbb{R}$, for U_t in \mathcal{H}^∞ , we have

$$\text{d}\pi(\mathfrak{g}_{\mathbb{C}}(E))\mathcal{H}^\infty(F) \subseteq \mathcal{H}^\infty(E + F).$$

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1 Introduction

For a complex Lie algebra \mathfrak{g} with a root decomposition $\mathfrak{g} = \mathfrak{h} \oplus \bigoplus_{\alpha \in \Delta} \mathfrak{g}_\alpha$ and the corresponding \mathfrak{h} -weight spaces V_β in a \mathfrak{g} -module, one has the elementary relation

$$\mathfrak{g}_\alpha \cdot V_\beta \subseteq V_{\beta+\alpha},$$

which is of central importance in understanding the structure of the action of \mathfrak{g} on V ([Hum72, Bou82]). The main point of the present note is to provide a generalization of this relation to unitary representations of infinite dimensional Lie groups. The results of this note are used in our forthcoming articles [NS14] and [MN14].

To make our results as flexible as possible, we consider the following setting. Let G be a locally convex Lie group with Lie algebra \mathfrak{g} and a smooth exponential map $\exp_G : \mathfrak{g} \rightarrow G$ denoted by $e^x := \exp_G(x)$ (see [Ne06]). We denote the group of smooth automorphisms of

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G by $\text{Aut}(G)$. We further consider a one-parameter group $\gamma: \mathbb{R} \rightarrow \text{Aut}(G), t \mapsto \gamma_t$ defining a continuous action of \mathbb{R} on G . Then the semidirect product $G \rtimes_{\gamma} \mathbb{R}$ is a topological group whose continuous unitary representations $(\pi^{\#}, \mathcal{H})$ can be written as $\pi^{\#}(g, t) = \pi(g)U_t$, where (π, \mathcal{H}) is a unitary representation of G and $(U_t)_{t \in \mathbb{R}}$ a continuous unitary one-parameter group satisfying

$$U_t \pi(g) U_t^* = \pi(\gamma_t(g)) \quad \text{for } t \in \mathbb{R}, g \in G.$$

For $f \in L^1(\mathbb{R})$, we then obtain a bounded operator $U_f = \int_{\mathbb{R}} f(t) U_t dt \in B(\mathcal{H})$. We call $v \in \mathcal{H}$ *smooth* if the orbit map $\pi^v: G \rightarrow \mathcal{H}, g \mapsto \pi(g)v$ is smooth and write \mathcal{H}^{∞} for the subspace of smooth vectors. Then

$$\mathfrak{d}\pi(x)v := \left. \frac{d}{dt} \right|_{t=0} \pi(e^{tx})v \quad \text{for } v \in \mathcal{H}^{\infty}, x \in \mathfrak{g},$$

defines by complex linear extension a representation $\mathfrak{d}\pi: \mathfrak{g}_{\mathbb{C}} \rightarrow \text{End}(\mathcal{H}^{\infty})$.

Let $\text{Aut}(\mathfrak{g})$ denote the group of continuous automorphisms of \mathfrak{g} . Our first main result asserts that if $(L(\gamma_t))_{t \in \mathbb{R}}$ is equicontinuous in $\text{Aut}(\mathfrak{g})$, then \mathcal{H}^{∞} is invariant under the operators $U_f, f \in L^1(\mathbb{R})$. Under the weaker assumption that $(L(\gamma_t))_{t \in \mathbb{R}}$ is polynomially bounded, we still have the invariance under $U_f, f \in \mathcal{S}(\mathbb{R})$, where $\mathcal{S}(\mathbb{R})$ denotes the space of Schwartz functions. The main point of this result is that it permits us to localize the U -spectrum within the space of smooth vectors because the U -spectrum of a vector of the form $U_f v$ is contained in $\text{supp}(\hat{f})$ (Theorem 2.3).

To turn this into an effective tool to analyze positive energy representations, i.e., representations where $\text{Spec}(U)$ is bounded from below, we need to know how the U -spectrum of an element v changes when we apply elements of $\mathfrak{g}_{\mathbb{C}}$. This is clarified by Theorem 3.1, where we show that, when \mathfrak{g} is complete and the action of \mathbb{R} on \mathfrak{g} is continuous, for suitably defined spectral subspaces $\mathfrak{g}_{\mathbb{C}}(E), E \subseteq \mathbb{R}$, and $\mathcal{H}^{\infty}(F) := \mathcal{H}(F) \cap \mathcal{H}^{\infty}, F \subseteq \mathbb{R}$, corresponding to U in \mathcal{H} , we have

$$\mathfrak{d}\pi(\mathfrak{g}_{\mathbb{C}}(E))\mathcal{H}^{\infty}(F) \subseteq \mathcal{H}^{\infty}(E + F).$$

Note that all this applies in particular to the special case where $\gamma_t(g) = e^{tx} g e^{-tx}$ for $x \in \mathfrak{g}$, provided the one-parameter group $\text{Ad}(e^{tx})$ is equicontinuous, resp., polynomially bounded. In this context the results of the present paper are used in the forthcoming articles [NS14] and [MN14].

2 The Invariance Theorem

We prepare the proof of Theorem 2.3 with the following lemma. For $U \subset G$ open and $h: U \rightarrow \mathbb{C}$ a smooth map, we define the derivative of h along a left invariant vector field by

$$L_x h: U \rightarrow \mathbb{C}, \quad L_x h(g) := \lim_{s \rightarrow 0} \frac{1}{s} (h(g e^{sx}) - h(g))$$

for $x \in \mathfrak{g}, g \in U$. We refer to [Ne06] for the basic facts and definitions concerning calculus in locally convex spaces and the corresponding manifold and Lie group concepts (see also [Ha82] and [Ne01]).

Lemma 2.1 *Let $K \in \mathbb{N}$, $W \subset G$ be open and $\Phi : W \rightarrow \mathfrak{g}$ be a chart. Let $F : \mathbb{R} \times W \rightarrow \mathbb{C}$ satisfy the following properties:*

- (i) *The map $F_t : W \rightarrow \mathbb{C}, F_t(g) := F(t, g)$ is in $C^\infty(W, \mathbb{C})$ for every fixed $t \in \mathbb{R}$.*
- (ii) *For every $g_o \in W$ and every $k \in \mathbb{N}_0 = \mathbb{N} \cup \{0\}$ satisfying $k \leq K$, there exist an open g_o -neighborhood $U_{g_o, k} \subset W$ and an open $\mathbf{0}$ -neighborhood $V_{g_o, k} \subseteq \mathfrak{g}$ such that*

$$\sup \{ |L_{x_1} \cdots L_{x_k} F_t(g)| : g \in U_{g_o, k}, x_1, \dots, x_k \in V_{g_o, k}, t \in \mathbb{R} \} < \infty. \quad (1)$$

Then, for every $g_o \in W$ and every $k \in \mathbb{N}_0$ with $k \leq K$, there exist an open g_o -neighborhood $\tilde{U}_{g_o, k} \subset W$ and an open $\mathbf{0}$ -neighborhood $\tilde{V}_{g_o, k} \subseteq \mathfrak{g}$ such that

$$\sup \left\{ |\mathbf{d}^k \tilde{F}_t(u)(x_1, \dots, x_k)| : u \in \Phi(\tilde{U}_{g_o, k}), x_1, \dots, x_k \in \tilde{V}_{g_o, k}, t \in \mathbb{R} \right\} < \infty,$$

where $\tilde{F}_t := F_t \circ \Phi^{-1}$.

Proof. Let $g_o \in W$ and set $\ell_{g_o}(g) := g_o g$ for every $g \in G$. The operators L_x satisfy the relation $L_x(F_t \circ \ell_{g_o}) = L_x(F_t) \circ \ell_{g_o}$. Thus (after replacing F_t by $F_t \circ \ell_{g_o}$, W by $g_o^{-1}(W)$ and Φ by $\Phi \circ \ell_{g_o}$) we may assume without loss of generality that $g_o = \mathbf{1}$. Moreover we may assume that $\Phi(\mathbf{1}) = \mathbf{0}$. Let $V := \Phi(W) \subset \mathfrak{g}$. Replacing F_t by $F_t \circ \Phi^{-1} = \tilde{F}_t$, we can assume that F_t is defined on the open $\mathbf{0}$ -neighborhood $V \subset \mathfrak{g}$. We will consider V as a local Lie group with the multiplication induced from G .

Step 1. Choose $U \subset V$ open such that $U = U^{-1}$, $\mathbf{0} \in U$, and $UU \subset U$. Our goal is to prove (by induction on k) that for every $k \in \mathbb{N}$ with $k \leq K$ and every $u \in U$, there exist a u -neighborhood $U_{u, k} \subset U$ and a $\mathbf{0}$ -neighborhood $V_{u, k} \subset \mathfrak{g}$ such that

$$\sup \left\{ |\mathbf{d}^k F_t(u')(x_1, \dots, x_k)| : u' \in U_{u, k}, x_1, \dots, x_k \in V_{u, k}, t \in \mathbb{R} \right\} < \infty.$$

Then the special case $u = \mathbf{0}$ yields the assertion of the lemma for g_o .

Step 2. Fix $u \in U$ and $k \in \mathbb{N}$ with $k \leq K$. By [NS13, Lemma 2.2.1], we have

$$\frac{\partial^k}{\partial t_1 \cdots \partial t_k} F_t(g e^{t_1 x_1 + \cdots + t_k x_k}) \Big|_{t_1 = \cdots = t_k = 0} = \frac{1}{k!} \sum_{\sigma \in S_k} L_{x_{\sigma(1)}} \cdots L_{x_{\sigma(k)}} F_t(g) \quad (2)$$

for every $g \in U$. From (2) and (1) it follows that there exist open sets $u \in U_{u, k}^{(1)} \subset U$ and $\mathbf{0} \in V_{u, k}^{(1)} \subset \mathfrak{g}$ such that

$$\sup \left\{ \left| \frac{\partial^k}{\partial t_1 \cdots \partial t_k} F_t(g e^{t_1 x_1 + \cdots + t_k x_k}) \Big|_{t_1 = \cdots = t_k = 0} \right| : g \in U_{u, k}^{(1)}, x_1, \dots, x_k \in V_{u, k}^{(1)}, t \in \mathbb{R} \right\} < \infty. \quad (3)$$

Next we use [NS13, Lemma 2.1.3] for the left hand side of (2) to write

$$\frac{\partial^k}{\partial t_1 \cdots \partial t_k} F_t(g e^{t_1 x_1 + \cdots + t_k x_k}) \Big|_{t_1 = \cdots = t_k = 0} = \sum_{\{A_1, \dots, A_m\} \in \mathcal{P}_k} \mathbf{d}^m F_t(g) (v_{|A_1|}(g, x_{A_1}), \dots, v_{|A_m|}(g, x_{A_m})), \quad (4)$$

where \mathcal{P}_k is the set of partitions of $\{1, \dots, k\}$, and for every set $A = \{a_1, \dots, a_p\} \subseteq \{1, \dots, k\}$, we define $x_A := (x_{a_1}, \dots, x_{a_p})$ and

$$v_p : U \times \mathfrak{g}^p \rightarrow \mathfrak{g}, \quad v_p(g, x_A) := \frac{\partial^p}{\partial t_{a_1} \dots \partial t_{a_p}} (ge^{t_{a_1} x_{a_1} + \dots + t_{a_p} x_{a_p}}) \Big|_{t_{a_1} = \dots = t_{a_p} = 0}.$$

The term on the right hand side of (4) corresponding to the partition $\{\{1\}, \dots, \{k\}\}$ is

$$\mathbf{d}^k F_t(g)(v_1(g, x_1) \dots, v_1(g, x_k)) \quad (5)$$

and the remaining terms are partial derivatives of order strictly less than k . Let us denote the sum of these remaining terms by $A_t(g, x_1, \dots, x_k)$. Since the maps $v_p(\cdot, \cdot)$ are smooth, we can assume (by induction hypothesis) that there exist open sets $u \in U_{u,k}^{(2)} \subset U_{u,k}^{(1)}$ and $\mathbf{0} \in V_{u,k}^{(2)} \subset V_{u,k}^{(1)}$ and a constant $M > 0$ (depending only on F) such that

$$|A_t(g, x_1, \dots, x_k)| < M \text{ for every } g \in U_{u,k}^{(2)}, x_1, \dots, x_k \in V_{u,k}^{(2)}, \text{ and } t \in \mathbb{R}.$$

Thus, given the upper bound (3) and the expression (5) for the first term in the summation, to complete the proof of the claim in Step 1, it suffices to prove the following statement:

- There exist open sets $u \subset U' \subset U_{u,k}^{(2)}$ and $\mathbf{0} \in V' \subset \mathfrak{g}$ such that for every $g \in U'$ and every $y \in V'$, the equation

$$v_1(g, x) = y \quad (6)$$

has a solution $x \in V_{u,k}^{(2)}$.

Next we prove the latter statement. First note that $v_1(g, x) = \mathbf{d}\ell_g(\mathbf{0})(x)$, where $\ell_g(h) = gh$, and the chain rule implies that the solution to (6) is given by $x = \mathbf{d}\ell_{g^{-1}}(g)(y)$. From smoothness (in fact only continuity) of the map

$$\varphi : U \times \mathfrak{g} \rightarrow \mathfrak{g}, \quad \varphi(g, y) := \mathbf{d}\ell_{g^{-1}}(g)(y)$$

and the relation $\varphi(u, \mathbf{0}) = \mathbf{0}$ it follows that there exist U' and V' such that $\varphi(U' \times V') \subset V_{u,k}^{(2)}$. ■

Definition 2.2 Let E be a locally convex space and let $\alpha : \mathbb{R} \rightarrow \text{GL}(E)$, $t \mapsto \alpha_t$ be a group homomorphism. Then α is called

- (a) *equicontinuous* if the subset $\{\alpha_t : t \in \mathbb{R}\} \subset \text{End}(E)$ is equicontinuous (cf. Def. A.1).
- (b) *polynomially bounded* if for every continuous seminorm p on E there exists an $N \in \mathbb{N}_0$ such that $\{(1 + |t|^N)^{-1} \alpha_t : t \in \mathbb{R}\}$ is an equicontinuous subset of $\text{Hom}(E, (E, p))$, where (E, p) denotes E , endowed with the topology defined by the single seminorm p .

Theorem 2.3 (Zellner's Invariance Theorem) *Let $\gamma : \mathbb{R} \rightarrow \text{Aut}(G)$ be a one-parameter group and $\alpha : \mathbb{R} \rightarrow \text{Aut}(\mathfrak{g}_{\mathbb{C}})$ be defined by $\alpha_t := L(\gamma_t)_{\mathbb{C}} \in \text{Aut}(\mathfrak{g}_{\mathbb{C}})$ for $t \in \mathbb{R}$. Assume that γ defines a continuous action of \mathbb{R} on G . Let $\pi^{\#} : G \rtimes_{\gamma} \mathbb{R} \rightarrow \text{U}(\mathcal{H})$, $(g, t) \mapsto \pi(g)U_t$ be a continuous unitary representation and \mathcal{H}^{∞} be the space of smooth vectors with respect to π . For $f \in L^1(\mathbb{R})$, let $U_f = \int_{\mathbb{R}} f(t)U_t dt \in B(\mathcal{H})$. Assume that at least one of the following conditions hold:*

- (a) α is equicontinuous and $f \in L^1(\mathbb{R})$; or:
- (b) α is polynomially bounded and $f \in \mathcal{S}(\mathbb{R})$.

Then $U_f \mathcal{H}^{\infty} \subseteq \mathcal{H}^{\infty}$ and

$$\text{d}\pi(y_1) \cdots \text{d}\pi(y_n) U_f v = \int_{\mathbb{R}} f(t) U_t \text{d}\pi(\alpha_{-t}(y_1)) \cdots \text{d}\pi(\alpha_{-t}(y_n)) v dt \quad (7)$$

for $y_1, \dots, y_n \in \mathfrak{g}_{\mathbb{C}}$ and $v \in \mathcal{H}^{\infty}$.

Proof. Let $v \in \mathcal{H}^{\infty}$, $w \in \mathcal{H}$ and consider

$$F : \mathbb{R} \times G \rightarrow \mathbb{C}, (t, g) \mapsto \langle \pi(g)U_t v, w \rangle.$$

We set $F_t(g) := F(t, g)$. Since $\pi(g)U_t = \pi^{\#}(g, t) = U_t \pi(\gamma_{-t}g)$ and $v \in \mathcal{H}^{\infty}$, we conclude that $U_t \mathcal{H}^{\infty} \subseteq \mathcal{H}^{\infty}$ and $F_t \in C^{\infty}(G)$. Note that

$$L_{x_1} \cdots L_{x_k} F_t(g) = \langle \pi(g) \text{d}\pi(x_1) \cdots \text{d}\pi(x_k) U_t v, w \rangle = \langle \pi(g) U_t \text{d}\pi(\alpha_{-t}(x_1)) \cdots \text{d}\pi(\alpha_{-t}(x_k)) v, w \rangle$$

for $x_1, \dots, x_k \in \mathfrak{g}$. Since $v \in \mathcal{H}^{\infty}$, the k -linear map

$$\mathfrak{g}^k \rightarrow \mathcal{H}, (x_1, \dots, x_k) \mapsto \text{d}\pi(x_1) \cdots \text{d}\pi(x_k) v$$

is continuous. From Proposition A.3 we thus obtain for every $k \in \mathbb{N}$ a continuous seminorm p_k on \mathfrak{g} such that

$$\|\text{d}\pi(x_1) \cdots \text{d}\pi(x_k) v\| \leq p_k(x_1) \cdots p_k(x_k) \quad \text{for all } x_1, \dots, x_k \in \mathfrak{g}.$$

We conclude

$$|L_{x_1} \cdots L_{x_k} F_t(g)| \leq p_k(\alpha_{-t}(x_1)) \cdots p_k(\alpha_{-t}(x_k)) \cdot \|w\|. \quad (8)$$

(a) Now assume first that α is equicontinuous and $f \in L^1(\mathbb{R})$. By Proposition A.2 we find for every $k \in \mathbb{N}$ a continuous seminorm q_k on \mathfrak{g} such that $p_k(\alpha_{-t}(x)) \leq q_k(x)$ holds for all $t \in \mathbb{R}, x \in \mathfrak{g}$. Let $U_k := \{x \in \mathfrak{g} : q_k(x) < 1\}$. Then we obtain from (8)

$$\sup \left\{ |L_{x_1} \cdots L_{x_k} F_t(g)| : g \in G, x_1, \dots, x_k \in U_k, t \in \mathbb{R} \right\} \leq \|w\| < \infty. \quad (9)$$

Let $g_0 \in G$ and choose a chart $\Phi : W \rightarrow \mathfrak{g}$ with $W \subset G$ an open neighborhood of g_0 . Now (9) implies that $F|_{\mathbb{R} \times W}$ satisfies the assumptions of Lemma 2.1. Thus, for every $u_0 \in \Phi(W)$

and $k \in \mathbb{N}$, there exist an open u_0 -neighborhood $U_{u_0,k} \subset \Phi(W)$ and an open 0-neighborhood $V_{u_0,k} \subset \mathfrak{g}$ such that

$$\sup \left\{ \left| d^k \tilde{F}_t(u)(x_1, \dots, x_k) \right| : u \in U_{u_0,k}, x_1, \dots, x_k \in V_{u_0,k}, t \in \mathbb{R} \right\} < \infty,$$

where $\tilde{F}_t := F_t \circ \Phi^{-1}$. Since $f \in L^1(\mathbb{R}, \mathbb{C})$, Lemma A.4 yields that the map

$$\Phi(W) \rightarrow \mathbb{C}, \quad u \mapsto \int_{\mathbb{R}} f(t) \tilde{F}_t(u) dt = \int_{\mathbb{R}} f(t) \langle \pi(\Phi^{-1}(u)) U_t v, w \rangle dt$$

is smooth. We conclude that

$$G \rightarrow \mathbb{C}, \quad g \mapsto \langle \pi(g) \pi(f) v, w \rangle = \int_{\mathbb{R}} f(t) \langle \pi(g) U_t v, w \rangle dt$$

is smooth for every $w \in \mathcal{H}$. With $w = \pi(f)v$ we now obtain from [Ne10, Thm. 7.2] that $\pi(f)v \in \mathcal{H}^\infty$. Finally, (7) follows from the corresponding relation for the functions F_t . This proves (a).

(b) Now assume that α is polynomially bounded and $f \in \mathcal{S}(\mathbb{R})$. Then there exists for every $k \in \mathbb{N}$ a continuous seminorm q'_k on \mathfrak{g} and $N_k \in \mathbb{N}_0$ such that

$$p_k(\alpha_t(x)) \leq (1 + |t|^{N_k}) q'_k(x) \quad \text{for all } x \in \mathfrak{g}, t \in \mathbb{R}.$$

From (8) we thus obtain

$$|L_{x_1} \cdots L_{x_k} F_t(g)| \leq (1 + |t|^{N_k})^k q'_k(x_1) \cdots q'_k(x_k) \cdot \|w\|. \quad (10)$$

Let $g_0 \in G$ and choose a chart $\Phi : W \rightarrow \mathfrak{g}$ with $W \subset G$ an open neighborhood of g_0 . Now fix $K \in \mathbb{N}$ and set $M_K := \max\{N_1, \dots, N_K\}$ and

$$U'_K := \{x \in \mathfrak{g} : q'_1(x) < 1, \dots, q'_K(x) < 1\}.$$

Moreover define $H^{(K)}(t, g) := (1 + |t|^{M_K})^{-K} F(t, g)$ and $H_t^{(K)}(g) := H^{(K)}(t, g)$. From (10) we obtain

$$\sup \left\{ |L_{x_1} \cdots L_{x_k} H_t^{(K)}(g)| : g \in G, x_1, \dots, x_k \in U'_K, t \in \mathbb{R} \right\} \leq \|w\| < \infty$$

for all $k \leq K$. Thus Lemma 2.1, applied to $H^{(K)}|_{\mathbb{R} \times W}$, implies that for every $u_0 \in \Phi(W)$ there exist an open u_0 -neighborhood $U_{u_0,K} \subset \Phi(W)$ and an open 0-neighborhood $V_{u_0,K} \subset \mathfrak{g}$ such that

$$\sup \left\{ \left| d^K \tilde{H}_t^{(K)}(u)(x_1, \dots, x_K) \right| : u \in U_{u_0,K}, x_1, \dots, x_K \in V_{u_0,K}, t \in \mathbb{R} \right\} < \infty, \quad (11)$$

where $\tilde{H}_t^{(K)} := H_t^{(K)} \circ \Phi^{-1}$. Consider

$$\hat{F} : \mathbb{R} \times \Phi(W) \rightarrow \mathbb{C}, (t, u) \mapsto f(t) F(t, \Phi^{-1}(u))$$

and set $\widehat{F}_t(u) := \widehat{F}(t, u)$. Then, for every $K \in \mathbb{N}$,

$$\mathbf{d}^K \widehat{F}_t(u)(x_1, \dots, x_K) = (1 + |t|^{M_K})^K f(t) \cdot \mathbf{d}^K \widetilde{H}_t^{(K)}(u)(x_1, \dots, x_K).$$

Since $f \in \mathcal{S}(\mathbb{R}, \mathbb{C})$ we have $(1 + |t|^{M_K})^K f(t) \in L^1(\mathbb{R}, \mathbb{C})$ for all $K \in \mathbb{N}$. Thus (11) and Lemma A.4 show that the map

$$\Phi(W) \rightarrow \mathbb{C}, \quad u \mapsto \int_{\mathbb{R}} \widehat{F}(t, u) dt = \int_{\mathbb{R}} f(t) \langle \pi(\Phi^{-1}(u)) U_t v, w \rangle dt$$

is smooth. We conclude that

$$G \rightarrow \mathbb{C}, \quad g \mapsto \langle \pi(g) \pi(f) v, w \rangle = \int_{\mathbb{R}} f(t) \langle \pi(g) U_t v, w \rangle dt$$

is smooth for all $w \in \mathcal{H}$. As above we obtain with [Ne10, Thm. 7.2] that $\pi(f)v \in \mathcal{H}^\infty$ and that (7) holds. \blacksquare

Remark 2.4 In the situation of Theorem 2.3, assume that α has the infinitesimal generator $A : D(A) \rightarrow \mathfrak{g}$. Then growth bounds of α can often be determined in terms of the generator A . In particular, if \mathfrak{g} is finite dimensional, then α is polynomially bounded if and only if the spectrum of A is purely imaginary. However, for an infinite dimensional Hilbert space \mathcal{H} there is a one-parameter group $\alpha : \mathbb{R} \rightarrow B(\mathcal{H})$ with $\|\alpha_t\| = e^{|t|}$ whose generator has purely imaginary spectrum, cf. [vN96, Example 1.2.4].

Remark 2.5 In the situation of Theorem 2.3, let B denote the self-adjoint generator of U_t . Assume that $f \in \mathcal{S}(\mathbb{R})$, and define $\widehat{f}(s) := \int_{\mathbb{R}} f(t) e^{ist} dt$. Then $\int_{\mathbb{R}} f(t) U_t v dt = \widehat{f}(B)v$, where $\widehat{f}(B)$ is defined by functional calculus of B . Since the map $\mathcal{S}(\mathbb{R}) \rightarrow \mathcal{S}(\mathbb{R})$, $f \mapsto \widehat{f}$ is a bijection, we see that $h(B)v \in \mathcal{H}^\infty$ for all $v \in \mathcal{H}^\infty$, $h \in \mathcal{S}(\mathbb{R})$.

Definition 2.6 An element $x \in \mathfrak{g}$ is called *elliptic* if the subgroup $\text{Ad}(e^{\mathbb{R}x}) \subset \text{End}(\mathfrak{g})$ is equicontinuous.

Corollary 2.7 Let $\pi : G \rightarrow \text{U}(\mathcal{H})$ be a continuous unitary representation, $x \in \mathfrak{g}$, and set $\alpha_t := \text{Ad}(e^{tx})$. Assume either that x is elliptic and $f \in L^1(\mathbb{R})$, or that $\alpha : \mathbb{R} \rightarrow \text{Aut}(\mathfrak{g}_{\mathbb{C}})$, as defined in Theorem 2.3, is polynomially bounded and $f \in \mathcal{S}(\mathbb{R})$. Then $U_f \mathcal{H}^\infty \subseteq \mathcal{H}^\infty$.

Proof. Define $\gamma : \mathbb{R} \times G \rightarrow G$, $(t, g) \mapsto e^{tx} g e^{-tx}$. Then $\pi^\#(g, t) := \pi(g e^{tx})$ is a continuous unitary representation. As $\alpha_t = \text{L}(\gamma_t) = \text{Ad}(e^{tx})$, the assertion follows from Theorem 2.3. \blacksquare

3 The Spectral Translation Formula

Let γ and α be as in Theorem 2.3. We assume, in addition, that \mathfrak{g} is complete and that γ defines continuous actions of \mathbb{R} on G and \mathfrak{g} . If α is equicontinuous, we define the spectrum $\text{Spec}_\alpha(x)$ of an element $x \in \mathfrak{g}_{\mathbb{C}}$ and the Arveson spectral subspace $\mathfrak{g}_{\mathbb{C}}(E)$ for $E \subset \mathbb{R}$ as in Definition A.5(b). A continuous unitary one-parameter group $(U_t)_{t \in \mathbb{R}}$ on \mathcal{H} is clearly

equicontinuous. Therefore we can consider $\text{Spec}_U(v)$ for $v \in \mathcal{H}$ and the Arveson spectral subspaces $\mathcal{H}(E)$ and $\mathcal{H}^\infty(E) := \mathcal{H}^\infty \cap \mathcal{H}(E)$. If α is only polynomially bounded, we likewise define the spectrum $\text{Spec}_\alpha(x; \mathcal{S})$ of an element $x \in \mathfrak{g}_\mathbb{C}$ and the Arveson spectral subspace $\mathfrak{g}_\mathbb{C}(E; \mathcal{S})$ for $E \subseteq \mathbb{R}$ (Definition A.5(a)). By Lemma A.9 we have $\text{Spec}_\alpha(x) = \text{Spec}_\alpha(x; \mathcal{S})$ and $\mathfrak{g}_\mathbb{C}(E) = \mathfrak{g}_\mathbb{C}(E; \mathcal{S})$ if α is equicontinuous.

Theorem 3.1 (Spectral translation formula) *Assume that \mathfrak{g} is a complete locally convex Lie algebra, $\gamma : \mathbb{R} \rightarrow \text{Aut}(G)$ defines a continuous action of \mathbb{R} on G , and $\alpha : \mathbb{R} \rightarrow \text{Aut}(\mathfrak{g}_\mathbb{C})$, as defined in Theorem 2.3, defines a continuous action of \mathbb{R} on $\mathfrak{g}_\mathbb{C}$. Let $\pi^\#(g, t) = \pi(g)U_t$ be a continuous unitary representation of $G \rtimes_\gamma \mathbb{R}$ on \mathcal{H} and \mathcal{H}^∞ be the space of smooth vectors with respect to π .*

(i) *Assume that α is equicontinuous. Then, for any subsets $E, F \subseteq \mathbb{R}$, we have*

$$\mathfrak{d}\pi(\mathfrak{g}_\mathbb{C}(E))\mathcal{H}^\infty(F) \subseteq \mathcal{H}^\infty(E + F).$$

(ii) *Assume that α is polynomially bounded. Then, for any subsets $E, F \subseteq \mathbb{R}$, we have*

$$\mathfrak{d}\pi(\mathfrak{g}_\mathbb{C}(E; \mathcal{S}))\mathcal{H}^\infty(F) \subseteq \mathcal{H}^\infty(E + F).$$

Proof. (i) Assume that α is equicontinuous. From (7) we recall for $v \in \mathcal{H}^\infty$ and $f \in L^1(\mathbb{R})$ the relation

$$\mathfrak{d}\pi(y)U_f v = \int_{\mathbb{R}} f(t)U_t \mathfrak{d}\pi(\alpha_{-t}(y))v dt. \quad (12)$$

Fix $v \in \mathcal{H}^\infty$ and consider the bilinear map

$$\beta : \mathfrak{g}_\mathbb{C} \times L^1(\mathbb{R}) \rightarrow \mathcal{H}, \quad (y, f) \mapsto \mathfrak{d}\pi(y)U_f v.$$

Since the map $\mathfrak{g}_\mathbb{C} \rightarrow \mathcal{H}, y \mapsto \mathfrak{d}\pi(y)v$ is continuous and $\alpha_\mathbb{R} \subset \text{End}(\mathfrak{g}_\mathbb{C})$ is equicontinuous, there is a continuous seminorm q on $\mathfrak{g}_\mathbb{C}$ with $\|\mathfrak{d}\pi(\alpha_t(y))v\| \leq q(y)$ for all $y \in \mathfrak{g}_\mathbb{C}, t \in \mathbb{R}$. Now let $x \in \mathfrak{g}_\mathbb{C}$ and $f \in L^1(\mathbb{R})$. Then by (12) we obtain $\|\beta(x, f)\| \leq \|f\|_{L^1(\mathbb{R})}q(x)$, so that β is continuous by Proposition A.3. Since the integrated representation U of $L^1(\mathbb{R})$ on \mathcal{H} is continuous, the annihilator ideal

$$L^1(\mathbb{R})_v := \{f \in L^1(\mathbb{R}) : U_f v = 0\}$$

is closed and therefore translation invariant. Note that it is a two-sided ideal because $L^1(\mathbb{R})$ is commutative. It follows that the left regular representation of \mathbb{R} on $L^1(\mathbb{R})$ defined by $\lambda_t f(t') := f(t' - t)$, for $t, t' \in \mathbb{R}$ and $f \in L^1(\mathbb{R})$, factors to a continuous and equicontinuous representation of \mathbb{R} on the Banach space $\mathcal{A} := L^1(\mathbb{R})/L^1(\mathbb{R})_v$. Write \bar{f} for the image of $f \in L^1(\mathbb{R})$ in \mathcal{A} . Then the corresponding integrated representation of $L^1(\mathbb{R})$ on \mathcal{A} is $\lambda_f \bar{h} := \overline{f * h}$, where $f, h \in L^1(\mathbb{R})$. For every $\bar{h} \in \mathcal{A}$, we consider $\text{Spec}_\lambda(\bar{h})$, see Definition A.5(b).

Set $F := \text{Spec}_U(v)$. For $f \in L^1(\mathbb{R})$ and $h \in L^1(\mathbb{R})_v$, commutativity of $L^1(\mathbb{R})$ implies $f * h = h * f \in L^1(\mathbb{R})_v$, so that $\lambda_h \bar{f} = \overline{h * f} = 0$. It follows that $\text{Spec}_\lambda(\bar{f}) \subseteq F$ for every $\bar{f} \in \mathcal{A}$.

Now fix $E \subseteq \mathbb{R}$. As β is continuous, [Ne13, Prop. A.14] implies that for every $y \in \mathfrak{g}_\mathbb{C}(E)$, we have

$$\text{Spec}_U(\mathfrak{d}\pi(y)U_f v) \subseteq \overline{E + F} \quad \text{for } f \in L^1(\mathbb{R}).$$

Next we observe that (12) implies that, for any δ -sequence δ_n in $L^1(\mathbb{R})$, we have

$$\mathbf{d}\pi(y)U_{\delta_n}v \rightarrow \mathbf{d}\pi(y)v.$$

Since $\mathcal{H}(\overline{E+F})$ is a closed subspace of \mathcal{H} , we obtain $\text{Spec}_U(\mathbf{d}\pi(y)v) \subseteq \overline{E+F}$ for every $y \in \mathfrak{g}_{\mathbb{C}}(E)$.

(ii) Now assume that α is polynomially bounded. Let $v \in \mathcal{H}^\infty$. Then the bilinear map

$$\beta: \mathfrak{g}_{\mathbb{C}} \times \mathcal{S}(\mathbb{R}) \rightarrow \mathcal{H}, \quad (y, f) \mapsto \mathbf{d}\pi(y)U_f v$$

is continuous, which follows from a similar argument as in (i). From the continuity of the inclusion $\mathcal{S}(\mathbb{R}) \hookrightarrow L^1(\mathbb{R})$ and the closedness of $L^1(\mathbb{R})_v$, it follows that the annihilator ideal $\mathcal{S}(\mathbb{R})_v := \{f \in \mathcal{S}(\mathbb{R}) : U_f v = 0\}$ is closed in $\mathcal{S}(\mathbb{R})$. Now let λ be the left regular representation of \mathbb{R} on $\mathcal{S}(\mathbb{R})$ defined by $\lambda_t f(t') := f(t' - t)$ for $t, t' \in \mathbb{R}$ and $f \in \mathcal{S}(\mathbb{R})$. From the relation $U_t U_f v = U_{\lambda_t f} v$ for $t \in \mathbb{R}$ and $f \in \mathcal{S}(\mathbb{R})$, it follows that $\mathcal{S}(\mathbb{R})_v$ is translation invariant. The argument given in (i) for the case of $L^1(\mathbb{R})$ can be adapted to show that $\text{Spec}_\lambda(\overline{f}, \mathcal{S}) \subseteq \text{Spec}_U(v; \mathcal{S}) = \text{Spec}_U(v)$ for every $\overline{f} := f + \mathcal{S}(\mathbb{R})_v$, where $f \in \mathcal{S}(\mathbb{R})$ (cf. Lemma A.9). Since β is continuous, we can now apply Proposition A.10 and complete the proof as in (i). ■

Proposition 3.2 *Let γ and α be as in Theorem 2.3, $\pi^\#(g, t) = \pi(g)U_t$ be a continuous unitary representation of $G \rtimes_\gamma \mathbb{R}$ on \mathcal{H} and \mathcal{H}^∞ be the space of smooth vectors with respect to π . Let B denote the self-adjoint generator of U_t and P_B its spectral measure. We have $P_B(E)\mathcal{H} = \mathcal{H}(E)$ for every closed subset $E \subset \mathbb{R}$. If α is polynomially bounded and π is smooth, then $\mathcal{H}^\infty \cap P_B(E)\mathcal{H}$ is dense in $P_B(E)\mathcal{H}$ for every open subset $E \subset \mathbb{R}$.*

Proof. It is easy to verify that $P_B(E)\mathcal{H} = \mathcal{H}(E)$ holds for every closed subset $E \subset \mathbb{R}$. Now let $E \subset \mathbb{R}$ be open. Choose compact subsets $K_n \subset E, n \in \mathbb{N}$, with $K_n \subset K_{n+1}$ and $E = \bigcup_n K_n$. Let $v \in P_B(E)\mathcal{H}$ and $\varepsilon > 0$. By the smooth Urysohn Lemma we may choose compactly supported smooth functions f_n with $\text{supp}(f_n) \subset E, \|f_n\|_\infty \leq 1$ and $f_n = 1$ on K_n . By [RS80, Thm. VIII.5(d)] we have $f_n(B)v \rightarrow v = P_B(E)v$. Choose $v' \in \mathcal{H}^\infty$ with $\|v' - v\| < \varepsilon$. Then

$$\|f_n(B)v' - v\| \leq \|f_n(B)v' - f_n(B)v\| + \|f_n(B)v - v\| \leq \|v' - v\| + \|f_n(B)v - v\| < \varepsilon$$

for n large enough. As $f_n(B)v' \in \mathcal{H}^\infty \cap P_B(E)\mathcal{H}$ by Remark 2.5, the assertion follows. ■

A Appendix

A.1 Continuous mappings between locally convex spaces

Definition A.1 Let E and F be a locally convex spaces. We denote by $\text{Hom}(E, F)$ the space of continuous linear maps from E to F and write $\text{End}(E) := \text{Hom}(E, E)$. A subset $Y \subset \text{Hom}(E, F)$ is called *equicontinuous* if for every open 0-neighborhood U in F there exists a 0-neighborhood W in E such that $T(W) \subset U$ holds for every $T \in Y$.

Proposition A.2 ([Bo87, II.1.4 Prop.4]) *For $Y \subset \text{Hom}(E, F)$ the following conditions are equivalent:*

- (a) *Y is equicontinuous.*
- (b) *For every continuous seminorm p on F there exists a continuous seminorm q on E such that $p(Tx) \leq q(x)$ holds for all $T \in Y$ and $x \in E$.*

Proposition A.3 ([Bo87, II.1.4 Prop.4]) *Let $m : E^n \rightarrow F$ be an n -linear map. Then m is continuous if and only if for every continuous seminorm p on F there exists a continuous seminorm q on E such that*

$$p(m(x_1, \dots, x_n)) \leq q(x_1) \cdots q(x_n)$$

holds for all $x_1, \dots, x_n \in E$.

A.2 Differentiation under the integral sign

Lemma A.4 *Let (Ω, Σ, μ) be a measure space, E be a locally convex space and $W \subset E$ an open subset. Let $f : \Omega \times W \rightarrow \mathbb{C}$ be a map such that $f_t := f(t, \cdot) \in C^\infty(W, \mathbb{C})$ for all $t \in \Omega$ and $f(\cdot, x) \in L^1(\Omega, \mu)$ for all $x \in W$. Assume that, for every $x_0 \in W$ and $k \in \mathbb{N}$, there exist open subsets $U_{x_0, k}$ of W and $V_{x_0, k}$ of E with $x_0 \in U_{x_0, k}$ and $0 \in V_{x_0, k}$ and a function $g_{x_0, k} \in L^1(\Omega, \mu)$ such that*

$$\sup \left\{ |\mathbf{d}^k f_t(x)(h'_1, \dots, h'_k)| : x \in U_{x_0, k}, h'_1, \dots, h'_k \in V_{x_0, k} \right\} \leq g_{x_0, k}(t) \quad (13)$$

for all $t \in \Omega$. Then $F(\cdot) := \int_\Omega f(t, \cdot) d\mu(t)$ defines a smooth function on W with derivatives given by

$$\mathbf{d}^k F(x)(h_1, \dots, h_k) = \int_\Omega \mathbf{d}^k f_t(x)(h_1, \dots, h_k) d\mu(t).$$

Proof. We first show that F is C^1 . Let $x_0 \in W, h_1 \in E$ and $U_{x_0, 1}, V_{x_0, 1}$ with the stated properties, where we assume without loss of generality that $U_{x_0, 1}$ is convex. Since $\mathbf{d}f_t(x)(h)$ is linear in h we may (by scaling of $V_{x_0, 1}$) further assume that $h_1 \in V_{x_0, 1}$. Let $t_n \rightarrow 0$ with $x_0 + t_n h_1 \in U_{x_0, 1}$ for all n . Then we estimate:

$$\left| \frac{F(x_0 + t_n h_1) - F(x_0)}{t_n} - \int_\Omega \mathbf{d}f_t(x_0)(h_1) d\mu(t) \right| \leq \int_\Omega h_n(t) d\mu(t),$$

where $h_n(t) := \left| \frac{f_t(x_0 + t_n h_1) - f_t(x_0)}{t_n} - \mathbf{d}f_t(x_0)(h_1) \right|$. Equation (13) yields

$$h_n(t) = \left| \int_0^1 \mathbf{d}f_t(x_0 + st_n h_1)(h_1) - \mathbf{d}f_t(x_0)(h_1) ds \right| \leq 2g_{x_0, 1}(t).$$

As $h_n(t) \rightarrow 0$ for all $t \in \Omega$, the Dominated Convergence Theorem entails $\int_\Omega h_n(t) d\mu(t) \rightarrow 0$. Thus F is differentiable and $\mathbf{d}F(x)(h) = \int_\Omega \mathbf{d}f_t(x)(h) d\mu(t)$. Now let $x_0 \in W, h_1 \in E$ and

$U_{x_0,1}, U_{x_0,2}, V_{x_0,1}, V_{x_0,2}$ with the stated properties, where we assume without loss of generality that $U := U_{x_0,1} \cap U_{x_0,2}$ is convex and $V := V_{x_0,1} \cap V_{x_0,2}$ is balanced. By scaling of $V_{x_0,1}$ and $V_{x_0,2}$, we may again assume that $h_1 \in V$. Let $\varepsilon > 0$ and set

$$\delta := \frac{\varepsilon}{(1 + \int_{\Omega} g_{x_0,1} d\mu(t) + \int_{\Omega} g_{x_0,2} d\mu(t))}.$$

For $x \in U \cap (x_0 + \delta \cdot V)$, $h \in h_1 + \delta \cdot V$ we then have:

$$\begin{aligned} |\mathbf{d}F(x)(h) - \mathbf{d}F(x_0)(h_1)| &\leq \int_{\Omega} |\mathbf{d}f_t(x)(h - h_1)| d\mu(t) + \int_{\Omega} |\mathbf{d}f_t(x)(h_1) - \mathbf{d}f_t(x_0)(h_1)| d\mu(t) \\ &\leq \delta \int_{\Omega} |\mathbf{d}f_t(x)(\delta^{-1}(h - h_1))| d\mu(t) + \delta \int_{\Omega} \int_0^1 |\mathbf{d}^2 f_t(x_0 + s(x - x_0))(\delta^{-1}(x - x_0))(h_1)| ds d\mu(t) \\ &\leq \delta \int_{\Omega} g_{x_0,1}(t) d\mu(t) + \delta \int_{\Omega} g_{x_0,2}(t) d\mu(t) < \varepsilon. \end{aligned}$$

Since $U \cap (x_0 + \delta \cdot V)$ is an open x_0 -neighborhood and $h_1 + \delta \cdot V$ is an open h_1 -neighborhood, we conclude that $\mathbf{d}F$ is continuous. Hence F is continuously differentiable and therefore C^1 .

We now argue by induction on k and assume that F is C^k , $k \geq 1$, with derivatives as stated. We must show that $\mathbf{d}^k F$ is C^1 with the appropriate derivative. Applying the C^1 -case to $\mathbf{d}^k F(\cdot)(h_1, \dots, h_k)$ for fixed h_1, \dots, h_k yields that $\mathbf{d}^k F(\cdot)(h_1, \dots, h_k)$ is differentiable with derivative

$$\mathbf{d}^{k+1} F(x)(h_1, \dots, h_{k+1}) = \mathbf{d}(\mathbf{d}^k F(\cdot)(h_1, \dots, h_k))(x)(h_{k+1}) = \int_{\Omega} \mathbf{d}^{k+1} f_t(x)(h_1, \dots, h_{k+1}) d\mu(t).$$

This map is continuous in (x, h_1, \dots, h_{k+1}) , which may be shown by an analogous argument as for the C^1 -case using inequality (13). From here we conclude that $\mathbf{d}^k F$ is C^1 . \blacksquare

A.3 Arveson spectral theory for polynomially bounded actions

Let V be a complete complex locally convex space and let $\alpha : \mathbb{R} \rightarrow \text{GL}(V)$, $t \mapsto \alpha_t$ be a strongly continuous representation. Assume that α is polynomially bounded (Definition 2.2(b)).

Definition A.5 (a) We define

$$\alpha_f(v) := \int_{\mathbb{R}} f(t) \alpha_t(v) dt \quad \text{for } v \in V, f \in \mathcal{S}(\mathbb{R}). \quad (14)$$

Then $\alpha_f \in \text{End}(V)$ and this yields a representation of convolution algebra $(\mathcal{S}(\mathbb{R}), *)$ on V . We define the *spectrum* of an element $v \in V$ by

$$\text{Spec}_{\alpha}(v; \mathcal{S}) := \{y \in \mathbb{R} : (\forall f \in \mathcal{S}(\mathbb{R})) \alpha_f v = 0 \Rightarrow \widehat{f}(y) = 0\}$$

which is the hull of the annihilator ideal of v . For a subset $E \subseteq \mathbb{R}$, we now define the corresponding *Arveson spectral subspace*

$$V(E; \mathcal{S}) := \{v \in V : \text{Spec}_{\alpha}(v; \mathcal{S}) \subseteq \overline{E}\}.$$

(b) If α is equicontinuous, then (14) exists for all $f \in L^1(\mathbb{R})$ and we can define $\text{Spec}_{\alpha}(v)$ and $V(E)$ as above with $\mathcal{S}(\mathbb{R})$ replaced by $L^1(\mathbb{R})$, see [Ne13, Def. A.5(b)].

We now want to transfer some results of [Ne13, App. A.2] to the case when α is polynomially bounded. We first need a technical lemma.

Definition A.6 For an ideal $I \subseteq \mathcal{S}(\mathbb{R})$ we define its hull by

$$h(I) := \{x \in \mathbb{R} : \widehat{f}(x) = 0 \text{ for all } f \in I\},$$

and for a subset $E \subseteq \mathbb{R}$ we define

$$I_0(E) := \{f \in \mathcal{S}(\mathbb{R}) : \text{supp}(\widehat{f}) \cap E = \emptyset\}$$

which is an ideal in $\mathcal{S}(\mathbb{R})$.

Lemma A.7 (a) $h(I_0(E)) = E$ for $E \subseteq \mathbb{R}$ closed.

(b) $I_0(h(I)) \subseteq I$ for every closed ideal $I \subseteq \mathcal{S}(\mathbb{R})$.

Proof. (a) We obviously have $E \subseteq h(I_0(E))$. For $y \in \mathbb{R} \setminus E$ we find a compactly supported smooth function f which is non-zero at y and supported in a compact neighborhood of y intersecting E trivially. Then $f = \widehat{h}$ with $h \in I_0(E)$ shows that $y \notin h(I_0(E))$, and thus $h(I_0(E)) = E$.

(b) For $F \subseteq \mathbb{R}$ closed set

$$I_c(F) := \{f \in \mathcal{S}(\mathbb{R}) : \text{supp}(\widehat{f}) \text{ is compact and } \text{supp}(\widehat{f}) \cap F = \emptyset\}.$$

Note that $I_c(F) \subseteq I_0(F)$ is dense with respect to the Fréchet topology of $\mathcal{S}(\mathbb{R})$. Thus it suffices to show that $I_c(h(I)) \subseteq I$ for every ideal $I \subseteq \mathcal{S}(\mathbb{R})$. We consider the Fourier transformed ideal $\widehat{I} := \{\widehat{f} : f \in I\}$ in $\mathcal{S}(\mathbb{R})$ with pointwise multiplication. Let $f \in \mathcal{S}(\mathbb{R})$ be a compactly supported function which vanishes on a neighborhood of $h(I)$. We must show that $f \in \widehat{I}$. Choose a compact neighborhood K of $\text{supp}(f)$ which is also disjoint from $h(I)$. Since $h(I) \cap K = \emptyset$, for every $p \in K$ there is an $f_p \in \widehat{I}$ with $f_p(p) \neq 0$. A standard compactness argument yields $f_{p_1}, \dots, f_{p_k} \in \widehat{I}$ such that the sets $\{t \in \mathbb{R} : f_{p_j}(t) \neq 0\}$, $1 \leq j \leq k$, cover K . Set $g := |f_{p_1}|^2 + \dots + |f_{p_k}|^2$ and note that $g \in \widehat{I}$. Furthermore, $g(t) > 0$ for every $t \in K$. Now by the smooth Urysohn Lemma we can choose a compactly supported smooth function $h : \mathbb{R} \rightarrow \mathbb{R}$ such that $\text{supp}(h) \subseteq \text{int}(K)$ and $h|_{\text{supp}(f)} = 1$. Then $g_2 := (\frac{h}{g})g \in \widehat{I}$ and $g_2|_{\text{supp}(f)} = 1$. Thus $f = fg_2 \in \widehat{I}$. ■

Proposition A.8 For each subset $E \subseteq \mathbb{R}$, we have

$$V(E; \mathcal{S}) = \{v \in V : \alpha_f(v) = 0 \text{ for all } f \in \mathcal{S}(\mathbb{R}) \text{ with } \text{supp}(\widehat{f}) \cap \overline{E} = \emptyset\}. \quad (15)$$

Proof. Assume without loss of generality that $E \subseteq \mathbb{R}$ is closed. For $v \in V$ we denote by $\mathcal{S}(\mathbb{R})_v = \{f \in \mathcal{S}(\mathbb{R}) : \alpha_f(v) = 0\}$ the annihilator ideal of v , which is closed in $\mathcal{S}(\mathbb{R})$. Note that $\text{Spec}_\alpha(v; \mathcal{S}) = h(\mathcal{S}(\mathbb{R})_v)$ and that the right hand side of (15) equals

$$M := \{v \in V : I_0(E) \subseteq \mathcal{S}(\mathbb{R})_v\}.$$

For $v \in V(E; \mathcal{S})$, we have $h(\mathcal{S}(\mathbb{R})_v) \subseteq E$ and therefore $I_0(E) \subseteq I_0(h(\mathcal{S}(\mathbb{R})_v)) \subseteq \mathcal{S}(\mathbb{R})_v$ by Lemma A.7(b), which implies $v \in M$. For $v \in M$, we have $\text{Spec}_\alpha(v; \mathcal{S}) = h(\mathcal{S}(\mathbb{R})_v) \subseteq h(I_0(E)) = E$ by Lemma A.7(a), so that $v \in V(E; \mathcal{S})$. Hence $V(E; \mathcal{S}) = M$. ■

The preceding proposition shows in particular that $V(E; \mathcal{S})$ is a closed subspace of V . The following lemma shows that $\text{Spec}_\alpha(v; \mathcal{S})$, respectively, $V(E; \mathcal{S})$ are natural generalizations of $\text{Spec}_\alpha(v)$, respectively, the Arveson spectral subspace $V(E)$ to the case when α is polynomially bounded.

Lemma A.9 *Assume that α is equicontinuous. Then $V(E) = V(E; \mathcal{S})$ for all $E \subseteq \mathbb{R}$ and $\text{Spec}_\alpha(v) = \text{Spec}_\alpha(v; \mathcal{S})$ for all $v \in V$.*

Proof. Let $E \subseteq \mathbb{R}$ and assume without loss of generality that E is closed. We have $V(E; \mathcal{S}) \subseteq V(E)$ as $\text{Spec}_\alpha(v) \subseteq \text{Spec}_\alpha(v; \mathcal{S})$ and

$$V(E) = \{v \in V : \alpha_f(v) = 0 \text{ for all } f \in L^1(\mathbb{R}) \text{ with } \text{supp}(\widehat{f}) \cap E = \emptyset\}$$

by [Ne13, Rem. A.6]. With Proposition A.8 we thus obtain $V(E) \subseteq V(E; \mathcal{S})$ and conclude that $V(E) = V(E; \mathcal{S})$. Let $v \in V$ and $F := \text{Spec}_\alpha(v)$. Since F is closed, this implies $v \in V(F) = V(F; \mathcal{S})$, so that $\text{Spec}_\alpha(v; \mathcal{S}) \subseteq F$ yields $F = \text{Spec}_\alpha(v; \mathcal{S})$. ■

The following proposition is a version of [Ne13, Prop. A.14] for polynomially bounded representations of \mathbb{R} .

Proposition A.10 *Assume that (α_j, V_j) , $j = 1, 2, 3$ are continuous polynomially bounded representations of \mathbb{R} on the complete complex locally convex spaces V_j and that $\beta : V_1 \times V_2 \rightarrow V_3$ is a continuous equivariant bilinear map. Then we have, for closed subsets $E_1, E_2 \subseteq \mathbb{R}$, the relation*

$$\beta(V_1(E_1; \mathcal{S}) \times V_2(E_2; \mathcal{S})) \subseteq V_3(E_1 + E_2; \mathcal{S}).$$

Proof. By Proposition A.8 the assertion can be proved (with trivial changes) as in [Ne13, Prop. A.14] once we know that [Ne13, Lem. A.13] (or equivalently [Ar74, Prop. 2.2]) holds also in the polynomially bounded case. With Proposition A.8 and Lemma A.7, the proof of [Ar74, Prop. 2.2] carries over to the polynomially bounded case. ■

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